

U.S. ENVIRONMENTAL PROTECTION AGENCY

Grant Agreement

		,	ororor o ragor
,	ASSISTANCE ID N	0.	
PRG	DOC ID	AMEND	# DATE OF AWARD
XP -	97579701	- 0	MAY 24 2002
TYPE OF A	ACTION		MALAY 31 2002
PAYMENT ASAP	METHOD:	M	CEIVED
Comptrolle 77 West Ja	ment Request to: er Branch, MF-10J ackson Blvd. Ilinois 60604	M	JUN 2 1 2002
PAYEE:			
Village of L P.O. Box 3		STATE AN	NO TRIBAL PROGRAMS BRANCH

RECIPIENT: Village of Laurelville P.O. Box 393

Municipal

Laurelville, OH 43135

EIN: 31-6400633

PROJECT MANAGER M. Jean Wes P.O. Box 393

Laurelville, OH 43135

E-Mail: Phone: 740-332-4481 **EPA PROJECT OFFICER** Lula Spruill Water Division

WS-15J E-Mail: Spruill.Lula@epa.gov

Phone: 312-886-2281

EPA GRANT SPECIALIST Sheila Clark

Assistance Section, MC-10J E-Mail: Clark.Sheila@epa.gov

Phone: 312-353-1418

PROJECT TITLE AND DESCRIPTION

PROJECT - REHABILITATION OF PUBLIC SANITARY SEWAGE COLLECTION AND TREATMENT SYSTEMS

The project is to renovate the Laureville wastewater treatment facility to correct: (1) rehabilitating the lagoon, sand filters, and electrical components; (2) replacing defective pumps and miscellaneous equipment; and (3) adding an administrative building.

Laurelville, OH 43135

BUDGET PERIOD PROJECT PERIOD TOTAL BUDGET PERIOD COST TOTAL PROJECT PERIOD COST 12/01/2000 - 12/31/2004 12/01/2000 - 12/31/2004 \$693,148.00 \$693,148.00

NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers for 54.25 % of all approved costs incurred Assistance/Amendment to the Village of Laurelville up to and not exceeding \$376,000 for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed ______03/06/2002 included herein by reference.

ISSUING OFFICE (GRANTS MANAGEMENT OFFICE) AWARD APPROVAL OFFICE ORGANIZATION / ADDRESS ORGANIZATION / ADDRESS U.S. EPA Region 5 U.S. EPA, Region 5 Mail Code MCG10J Water Division, W-15J 77 West Jackson Blvd. 77 West Jackson Blvd. Chicago, IL 60604-3507 Chicago, IL 60604-3507

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL TYPED NAME AND TITLE Jo Lynn Traub, Director, Water Division

This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

M. Jean West, Mayor

DATE

DATÉ

06-13-02

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$	\$ 376,000	\$ 376,000
EPA In-Kind Amount	\$	\$	\$ 0
Unexpended Prior Year Balance	\$	\$	\$ 0
Other Federal Funds		S	\$ 0
Recipient Contribution	\$	\$ 317,148	\$ 317,148
State Contribution	\$	\$	\$ 0
Local Contribution	\$	\$	\$ 0
Other Contribution	\$	\$	\$ 0
Allowable Project Cost	\$ 0	\$ 693,148	\$ 693,148

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority	
66.606 - Surveys - Studies - Investigations - Speci	Appropriations Act of 2002 (PL 107-73)	40 CFR PART 31	
		·	

Fiscal										
Site	Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
-	Walkerson	PWX036	02	E4		20301B	41.83	-		376,000
								ļ		
								· va		
					Dance					
				9				A Company		
					Wing.		,			
C. C										
										376,000

Budget Summary Page

Budget Summary Page Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
	\$0
1. Personnel	\$0
2. Fringe Benefits	
3. Travel	\$0
4. Equipment	
5. Supplies	\$0
6. Contractual	\$693,148
7. Construction	\$0
8. Other	\$693,148
9. Total Direct Charges	\$0
10. Indirect Costs: 0.00% Base	\$693,148
11. Total (Share: Recipient <u>45.75</u> % Federal <u>54.25</u> %.)	\$376,000
12. Total Approved Assistance Amount	
13. Program Income	\$0

Administrative Conditions

THE TECHNICAL CONTACT FOR THIS GRANT IS GENE WOJCIK AT (312) 886-0174.

PAYMENT METHOD

In order to comply with the Debt Collection Improvement Act of 1996, the recipient must complete and return the attached Automated Standard Application for Payments (ASAP) system (OAF Form 5-15-01) with the signed Assistance Agreement.

Sixty (60) days from the date of this Award, you must be converted to the ASAP Payment method. During the 60 day transition period, you may use the ACH payment method.

2. LOBBYING/LITIGATION

In accordance with OMB Circular A-21, A-87, or A-122, as appropriate, the recipient agrees that it will not use project funds, including the Federal and non-Federal share, to engage in lobbying the Federal Government or in litigation against the United States. The recipient also agrees to provide the information mandated by EPA's annual appropriations acts for fiscal year 2002 (PL 107-73) which require as follows: 'A chief executive officer of any entity receiving funds under this Act shall certify that none of these funds have been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law.' The recipient may satisfy this certification requirement in any reasonable manner. The certification must be submitted to EPA after all grant funds have been expended.

3. MBE/WBE

In accordance with EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under assistance programs, the recipient agrees to:

a) Accept the applicable FY 2002 "fair share" goals negotiated with EPA by the Ohio Environmental Protection Agency as follows:

MBE		WBE		
Construction	3%	Construction	3.7%	
Supplies	1%	Supplies	3.7%	
Services	1%	Services	3.7%	
Equipment	0%	Equipment	0%	

If the recipient does not want to rely on applicable State's MBE/WBE goals, the recipient agrees to submit proposed MBE/WBE goals based on availability of qualified minority and women-owned businesses to do work in relevant market for construction, services, supplies and equipment. "Fair share" objectives must be submitted to the MBE/WBE Coordinator, within 30 days of award and approved by EPA no later than 30 days thereafter.

- b) Ensure to the fullest extent possible that at least the FY 2002 "fair share" objective [see a) above] of Federal funds for prime contractors or subcontracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women and historically black colleges and universities.
- c) Include in bid documents "fair share" objectives of 2002 fair share percentage [see a) above] and require all of its contractors to include in their bid documents for subcontracts the negotiated fair share percentages.
- d) Follow the six affirmative steps stated in 40 CFR 31.36(e).
- e) For assistance awards for continuing environmental programs and assistance awards with institutions of higher education, hospitals and other non-profit organizations, submit an EPA Form

5700-52A, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements" and Interagency Agreements" to the EPA Award Official by October 30 of each year. Other program reports must be submitted to the award official within 30 days of the end of each Federal fiscal quarter (January 30, April 30, July 30, and October 30).

- f) In the event race and/or gender neutral efforts prove to be inadequate to achieve a fair share objective for MBE/WBEs, the recipient agrees to notify EPA in advance of any race and/or gender conscious action it plans to take to more closely achieve the fair share objective.
- g) Until the recipient has completed its fair share negotiations with EPA, it agrees to maintain state Agency's fair share objectives. Once the recipient has completed its fair share negotiations with EPA, it will apply those objectives. The recipient also agrees to include in its bid documents the applicable FY 2002 "fair share" objectives and require all of its prime contractors to include in their bid documents for subcontracts the applicable FY 2002 "fair share" percentages and to comply with paragraphs (c) through (e) above.

4. RECYCLED PAPER

Pursuant to EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and delivered to the Agency. This requirement does not apply to reports which are prepared on forms supplied by EPA. This requirement applies even when the cost of recycled paper is higher than that of virgin paper.

SMALL BUSINESS IN RURAL AREAS

By accepting this agreement, the recipient agrees to comply with Section 129 of Public Law 100-590, the Small Business Administration Reauthorization and Amendment Act of 1988. Therefore, if the recipient awards a contract under this assistance agreement, it will utilize the following affirmative steps relative to Small Business in Rural Areas (SBRAs):

- a. Placing SBRAs on solicitation lists;
- b. Ensuring that SBRAs are solicited whenever they are potential sources;
- c. Dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation by SBRAs;
- d. Establishing delivery schedules, where the requirements of work will permit, which would encourage participation by SBRAs;
- e. Using the services of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate; and
- f. Requiring the contractor, if it awards subcontracts, to take the affirmative steps in subparagraphs a. through e. of this condition.

Programmatic Conditions

REPORTING

Technical progress reports will be submitted to U.S. EPA on a semi-annual basis within 30 days following the end of each six month period. Financial status reports are due annually. A final technical report and final financial status report will be submitted after the expiration of the budget and project period.

THIS AWARD IS IN RESPONSE TO AND CONSISTENT WITH THE BUDGET REFLECTED IN THE RECIPIENT'S APPLICATION DATED MARCH 6, 2002.